

Klauber & Jackson  
Attorneys At Law  
Continental Plaza  
411 Hackensack Avenue  
Hackensack, New Jersey 07601

TELEPHONE: (201) 487-5800

TO: Office of Duplicate OIPE

THE FIRM'S FACSIMILE TRANSMITTERS:

SERIAL NO: 09/757.415

FROM: Valeta A. Gregg

(201) 343-1684  
(201) 343-7544

DATE: September 9, 2002

PAGES TO FOLLOW: 35

MESSAGE:

File No. 2459-1-002N Fax Tel. No. (703) 746-9195

If you have any questions regarding compatibility, you may reach us at (201) 487-5800.

NOTE: The information contained in this facsimile message is attorney-client privileged and contains confidential information intended only for the use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (201-487-5800) and return the original transmission to us by mail without making a copy.

PATENT 2459-1-002N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Ming-Ming Zhou and Mitchell Goldfarb  
SERIAL NO. : 09/757,415 EXAMINER : Unknown  
FILED : January 9, 2001 ART UNIT : 1645  
FOR : METHODS OF IDENTIFYING MODULATORS OF THE FGF  
RECEPTOR

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on September 9, 2002.

Lois A. Snure  
(Name of Depositor)

Lois A. Snure 9/9/02  
(Signature and Date)

RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Responsive to the Request for Substitute Papers dated August 26, 2002, issued in connection with the above-identified Application, which is now due for response on September 9, 2002, Applicants herein submit copies of the following documents that were filed on December 17, 2001.


- (1) Submission of Missing Parts of Application which includes:
  - (a) An Associate Power of Attorney;
  - (b) A copy of the executed Declaration and Power of Attorney;
  - (b) A paper copy of the Sequence Listing;
  - (c) A copy of the statement in support of the filing and submission of a Sequence Listing in accordance with 37 CFR 1.821 - 1.825.;
  - (d) A copy of the Notice to File Missing Parts;
  - (e) A copy of the RAW SEQUENCE LISTING ERROR REPORT and the VERIFICATION SUMMARY REPORT from the Checker Program;
  - (f) A copy of the postcard and check that was filed with the submission.
- (2) The executed Assignment and recordal sheet transmittal along with the postcard and check mailed with the submission.
- (3) A copy of the Request for Substitute Papers signed by the undersigned

PATENT 2459-1-002N

representative for Applicant stating that above-mentioned documents are a complete and accurate copy of the papers that were filed on December 17, 2001.

Favorable consideration are believed to be in order, and are courteously solicited.

Respectfully submitted,

  
VALETA A. GREGG  
Attorney for Applicant  
Registration No. 35,127

Klauber & Jackson  
411 Continental Plaza  
Hackensack, NJ 07601  
(201)487-5800

Date: September 9, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20530  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/757,415	01/09/2001	Zhou, Ming-Ming	2459-1-002N

Klauber & Jackson  
411 Hackensack Avenue  
Hackensack NJ 07601

Title: Methods of identifying modulators of the FGF receptor

Date Mailed: 08/26/2002

**Request for Substitute Papers**

0009/9/02

The papers filed on 01/24/02 (certificate of mailing dated 12/17/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated ~~800-546-4960 (OIPR)~~ **703-746-9195**

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Valeta A. Gregg Registration No.: 35,127

Signature: Valeta A. Gregg Date: 9/9/02

RECEIVED

DOCKETED CPI

1 Mailed 12/17/01

Serial No. 09/757,415 File No. 2459-1-002N By DAJ/VAG/bs

Title METHODS OF IDENTIFYING MODULATORS OF THE ...

In the Matter of the Application of ZHOU AND GOLDFARB

The following was received in the U.S. Patent & Trademark Office on the date stamped hereon: **VIA FIRST CLASS MAIL**

- |  |  |
|--|--|
| <b>Missing Parts</b>   |  |
| <input checked="" type="checkbox"/> Transmittal Sheet/Cover Ltr.                   | <input type="checkbox"/> Extension of Time                       |
| <input type="checkbox"/> Application For Patent                                    | <input type="checkbox"/> Issue Fee Transmittal                   |
| <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Affidavit | <input type="checkbox"/> Maintenance Fee                         |
| <input type="checkbox"/> Drawings <input type="checkbox"/> Sheet(s)                | <input type="checkbox"/> Appl. TM Registration                   |
| <input type="checkbox"/> S.E. Verified Statements                                  | <input type="checkbox"/> 8 & 15 Declaration                      |
| <input type="checkbox"/> Assignment  | <input type="checkbox"/> Renewal Application                     |
| <input checked="" type="checkbox"/> Check for \$ <u>999.00</u>                     | <input type="checkbox"/> Notice of Appeal                        |
| <input type="checkbox"/> Letter <input type="checkbox"/> Missing Parts             | <input type="checkbox"/> Brief <input type="checkbox"/> Petition |
| <input type="checkbox"/> Priority Document   | <input checked="" type="checkbox"/> Power of Attorney Associate  |
| <input type="checkbox"/> Amendment <input type="checkbox"/> Response               | <input checked="" type="checkbox"/> Sequence Listing, disk,      |

Sequence Statement, copy of Notice to File Missing Parts, and a copy of the Raw Sequence, Listing, Error Report and Verified Summary Report

**KLAUBER AND JACKSON  
SPECIAL ACCOUNT**

411 HACKENSACK AVENUE  
HACKENSACK, NJ 07601

13337

55-337212

PAY  
TO THE  
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

DATE 12/17/01

\$ 999.00

Nine hundred ninety nine and 00/100

DOLLARS @



Small Business Services

00011

FOR 2459-1-002N/09-757415/14P

Joann Rodgers

⑈013337⑈ ⑈021200339⑈ 01340 05887⑈

**KLAUBER & JACKSON**  
ATTORNEYS AT LAW  
CONTINENTAL PLAZA  
411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

STEFAN J. KLAUBER \*  
DAVID A. JACKSON  
STEVE S. CHA  
VALETA A. GREGG, PH.D. \*  
STEPHEN GIGANTE  
LAWRENCE D. MANDEL \*  
CATHERINE ROSEMAN SMITH \*

\* BAR OTHER THAN N.J.  
\* COUNSEL

PATENT AGENTS

CHRISTINE E. DIETZEL, PH.D.  
MICHAEL A. YAMIN, PH.D.

SCIENTIFIC ADVISOR

VERONICA M. MALLON, PH.D.

PATENT, TRADEMARK AND  
COPYRIGHT COUNSEL

(201) 487-5300

FACSIMILE: (201) 343-1884

WWW.KJIPLAU.COM

email: info@kjpjsw.com

December 17, 2001

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Attention: Box Missing Parts  
Application Processing Division  
Special Processing and Correspondence Branch

Re: U.S. Patent Application  
Applicant(s) : Ming-Ming Zhou and Mitchell Goldfarb  
Serial No. : 09/757,415  
Filed : January 9, 2001  
Title : METHODS OF IDENTIFYING  
MODULATORS OF THE FGF  
RECEPTOR  
Docket No. : 2459-1-002N

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on December 17, 2001.

Valeta A. Gregg, Reg. No. 35,127  
(Name of Registered Representative)

Betty Schmitt 12/17/01  
(Signature and Date)

SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated October 23, 2001, (copy enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:

1. A combined Declaration and Power of Attorney making reference to the above-identified application, and in compliance with 37 CFR 1.63.

## ASSISTANT COMMISSIONER FOR PATENTS

December 17, 2001

Page - 2 -

Docket No.: 2459-1-002N

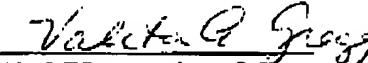
2. A paper copy of a Sequence Listing for insertion into the Application as filed at the end of the Specification and prior to the Claims.
3. A copy of the Sequence Listing in computer readable form (ASCII text), submitted on a 3½" floppy disk compatible with IBM format.
4. A statement in support of the filing and submission of a Sequence Listing in accordance with 37 CFR 1.821 - 1.825.
5. A copy of the RAW SEQUENCE LISTING ERROR REPORT and the VERIFICATION SUMMARY REPORT from the Checker Program.
6. An Associate Power of Attorney
7. A check in the amount of \$999.00, covering the following:
  - (a) Filing Fee under 37 CFR 1.16 (including multiple dependent claims) : 934.00
  - (b) Surcharge under 37 CFR 1.16 : 65.00
  - (c) Small entity status is entitled to be asserted for the above-identified application under 37 C.F.R. §1.27(c).

The time set for this response is December 23, 2001.

A correct Filing Receipt reflecting the above and attached information is requested.

Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,

  
VALETA A. GREGG  
Attorney for Applicant(s)  
Registration No. 35,127

VAG/bls  
Enclosures

KLAUBER & JACKSON

Received from < > at 9/9/02 9:50:25 AM [Eastern Daylight Time]

PATENT  
2459-1-QD2NIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Ming-Ming Zhou and Mitchell Goldfarb *et al.*  
SERIAL NO. : 09/757,415 EXAMINER : Unknown  
FILED : January 9, 2001 ART UNIT : 1645  
FOR : NERVE GROWTH FACTOR STRUCTURAL ANALOGS AND THEIR  
USES

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on December 17, 2001.

David A. Jackson, Reg. No. 26,742  
(Name of Registered Rep.)

Betty Schmitt 12/17/01  
(Signature and Date)

ASSOCIATE POWER OF ATTORNEY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

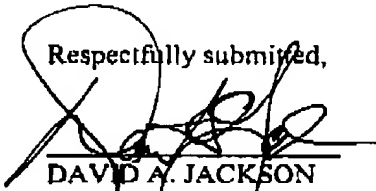
Sir:

The undersigned attorney for applicant hereby appoints Valeta A. Gregg, Registration No. 35,127, as Associate Attorney to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith.

Please continue to address all correspondence as follows:

David A. Jackson, Esq.  
KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, New Jersey 07601

Respectfully submitted,

  
DAVID A. JACKSON  
Attorney for Applicant(s)  
Registration No. 26,742

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800

Date: December 17, 2001





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/757,413	01.09/2001	Ming-Ming Zhou	2459-1-002N

CONFIRMATION NO. 6912

## FORMALITIES LETTER



\*0C00000006952583\*

Klauber & Jackson  
411 Hackensack Avenue  
Hackensack, NJ 07601

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$538.
  - \$18 for 2 total claims over 20.
  - \$520 for 13 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 958.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

---

*A copy of this notice **MUST** be returned with the reply.*

*JS*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE